	A	В	С
1	Self-Assessment Question	Statement to Include in EP if this Question <u>IS NOT</u> Listed in Findings Report	Include Statement As Part of Response to Which Evidentiary Package (EP) Question? Note: The statement of compliance in column B may also be relevant for other EP questions.
2	Q1: Is the setting adjacent to or under the same roof as a building that houses a publicly or privately-operated setting which provides inpatient institutional care: skilled nursing setting (SNF), immediate care setting for individuals with intellectual disabilities (ICF/IID), institute for mental disease (IMD), or hospital?	The setting is not adjacent to or under the same roof as a building that houses a publicly or privately-operated setting which provides inpatient institutional care.	1
3	Q2: Is the setting located on the grounds of, or immediately adjacent to, a building that is a public institution which provides inpatient institutional care (Skilled Nursing Setting (SNF), Intermediate Care Setting for Individuals with Intellectual (ICF/IID), Institute for Mental Disease (IMD), or hospital)?	The setting is not located on the grounds of, or immediately adjacent to, a building that is a public institution which provides inpatient institutional care.	1
4	Q3: Does the setting otherwise have the effect of isolating individuals receiving Medicaid- funded HCBS from the broader community, including the broader community of individuals not receiving Medicaid-funded HCBS?	The setting does not have the effect of isolating individuals receiving Medicaid-funded HCBS from the broader community, including the broader community of individuals not receiving Medicaid-funded HCBS. Provide explanation.	2
5	Q4: Due to model used for service provision, do individuals have limited opportunities — as compared to individuals living in the same community that do not receive HCBS - for interaction in and with the broader community, including interactions with individuals not receiving Medicaid HCBS?	Individuals have opportunities – as compared to individuals living in the same community that do not receive HCBS - for interaction in and with the broader community, including interactions with individuals not receiving Medicaid HCBS. Provide examples.	2
6	Q5: Does the setting restrict and/or limit individuals' choices to receive services provided by the setting outside of the setting or to engage in activities outside of the setting?	The setting does not restrict and/or limit individuals' choices to receive services provided by the setting outside of the setting or to engage in activities outside of the setting. Provider examples.	2
7	Q6: Is the setting physically located separate and apart from the broader local community?	The setting is not physically located separate and apart from the broader local community. Provider brief statements about where the setting is located in relation to the broader local community.	1
8	Q7: Are there gates, fences, locked doors, or other barriers that would prevent a person's entrance to or exit from the setting?	There are no gates, fences, locked doors, or other barriers that would prevent a person's entrance to or exit from the setting.	2

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9	Q8: Is a telephone available to people for personal use?	A telephone is available to people for personal use.	2
10	Q9: Is the telephone in a location that has space around it to ensure privacy?	The telephone is in a location that has space around it to ensure privacy.	8
11	Q10: Do people have a private, unsupervised space to meet visitors	People have a private, unsupervised space to meet visitors.	8
12	Q11: Are people able to have visitors at any time?	People are able to have visitors at any time.	5
13	Q12A: Is the setting within walking distance, to allow people pedestrian access to the broader community?	The setting is within walking distance, to allow people pedestrian access to the broader community.	1
14	Q12B: Is there at least one of the following outside the setting and leading to the broader local community: sidewalks with curb cuts, safe pedestrian walking paths or a public transportation system (bus/shuttle/metro) within walking distance of the setting?	There is at least one of the following outside the setting and leading to the broader local community: sidewalks with curb cuts, safe pedestrian walking paths or a public transportation system (bus/shuttle/metro) within walking distance of the setting. Specify what is present outside the setting.	1
15	Q12C. Is the setting close to other businesses and community venues open to the public that have people coming and going from the broader community?	The setting is close to other businesses and community venues open to the public that have people coming and going from the broader community. Name the businesses and community venues.	1
16	Q12D: Is the setting near any of the following: parks; indoor/outdoor recreational activities; colleges/universities; libraries, community centers, job centers, restaurants; retail stores; religious places of worship?	The setting is near one or more of the following: parks; indoor/outdoor recreational activities; colleges/universities; libraries, community centers, job centers, restaurants; retail stores; religious places of worship. Specify which of the type of venues listed above are near the setting.	1

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17	Q13. Are people able to make independent choices about what they do in the broader community and when they do these things, without these choices being contingent upon other people receiving HCBS going to the same activities/places and/or going at the same time?	People are able to make independent choices about what they do in the broader community and when they do these things, without these choices being contingent upon other people receiving HCBS going to the same activities/places and/or going at the same time. Provide an example or two of how this happens in practice at the setting.	3
18	Q14. In the setting, are people receiving HCBS provided the opportunities and support to interact with others from the local, broader community who do not receive HCBS and are not paid staff?	People receiving HCBS are provided the opportunities and support to interact with others from the local, broader community who do not receive HCBS and are not paid staff. Provide examples of how this happens at the setting and in the broader community.	5
19	Q14A. Does the setting provide opportunities and support, in the setting, for people receiving HCBS to interact with others who do not receive HCBS and are not paid to provide HCBS?	The setting provides opportunities and support, in the setting, for people receiving HCBS to interact with others who do not receive HCBS and are not paid to provide HCBS. Provider an example or two of how this happens in the setting.	5
20	Q14B.Does the setting provide opportunities and support for people to regularly engage in activities in the broader community chosen by each individual?	The setting provides opportunities and support for people to regularly engage in activities in the broader community chosen by each individual. Provide multiple examples of how this happens for people living in the setting.	2
21	Q14C. Does the setting offer individuals served the same degree of access to the community as people not receiving HCBS who live in the same local community?	The setting offers individuals served the same degree of access to the community as people not receiving HCBS who live in the same local community. Provider information that supports this statement.	2
22	Q15. Does the setting provide transportation for people receiving HCBS to/from the broader community when requested?	The setting provides transportation for people receiving HCBS to/from the broader community when requested.	6

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23	Q16. Does the setting provide information to people receiving HCBS (and their legal decisionmaker if applicable) regarding the types of transportation available?	The setting provides information to people receiving HCBS (and their legal decisionmaker if applicable) regarding the types of transportation available. Provide a statement about how this is done for people living in the setting.	6
24	access people, activities and places in the broader community?	The setting assists people receiving HCBS to utilize public transportation to access people, activities and places in the broader community. Describe the public transportation and give an example.	6
25	Q18A. Is there wheelchair accessible public transportation to/from the setting and the broader community?	There is wheelchair accessible public transportation to/from the setting and the broader community.	6
26	Q18B. Is the setting equipped to provide wheelchair accessible transportation to/from the setting and the broader community?	The setting is equipped to provide wheelchair accessible transportation to/from the setting and the broader community.	6
27	Q19. Does the setting provide flexible transportation necessary for people to maintain integrated-employment?	The setting provides flexible transportation necessary for people to maintain integrated-employment. Give an example of the flexibility that is available.	6
28		The setting provides flexible supports that are necessary for people to maintain integrated-employment. Give a few examples of the flexible supports that are provided.	2

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29	Q21. Does the setting assist people not already working in integrated-employment to explore the possibilities and potential benefits of integrated employment and timely document/share interest expressed by a person with his/her person-centered planning team?	The setting assists people not already working in integrated-employment to explore the possibilities and potential benefits of integrated employment and timely document/share interest expressed by a person with his/her person-centered planning team. Give a few examples of how the settings assists people to explore the possibilities and potential benefits of integrated employment. Explain what staff are trained/expected to do with regard to documenting interest and sharing it with PCP team.	2
30	Q22. Does the setting provide training for staff on integrated employment and Employment First? values and practices?	The setting provides training for staff on integrated employment and Employment First values and practices. Name the source and title of the training.	7
31	Q23. Are people required to sign over their paychecks from employment (any type) to the setting or have earned income direct deposited into an account owned by the agency/person that operates the setting?	People are not required to sign over their paychecks from employment (any type) to the setting or have earned income direct deposited into an account owned by the agency/person that operates the setting.	10
32	Q24. Is there a central location at the setting (not each person's own private space) where people's personal financial resources (cash; checkbook; bank card; credit cards) are held?	There is not a central location at the setting (not each person's own private space) where people's personal financial resources (cash; checkbook; bank card; credit cards) are held. Explain where each person's personal financial resources are stored in the setting.	10
33	Q25. Does the setting impose restrictions on when people can access their personal financial resources (cash; checkbook; bank card; credit cards)?	The setting does not impose restrictions on when people can access their personal financial resources (cash; checkbook; bank card; credit cards). Explain how people can access their personal financial resurces without resitriction.	10

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34	Q26. Is access to personal financial resources (cash; checkbook; bank card; credit cards) dependent on setting staff being present?	Access to personal financial resources (cash; checkbook; bank card; credit cards) is not dependent on setting staff being present. Explain how people can access their personal financial resurces without staff being present.	10
35	Q27A. Does the setting allow people to choose where they keep their personal financial resources (cash; checkbook; bank card; credit cards)?	The setting allows people to choose where they keep their personal financial resources (cash; checkbook; bank card; credit cards). Provide examples of where people can choose to keep their personal financial resources.	10
36	Q27B. Does the setting have rules about possession and control of personal financial resources (cash; checkbook; bank card; credit cards) for people receiving HCBS that are different than what would be typical for people not receiving Medicaid funded HCBS?	The setting does not have rules about possession and control of personal financial resources (cash; checkbook; bank card; credit cards) for people receiving HCBS that are different than what would be typical for people not receiving Medicaid funded HCBS.	10
37	Q28. Does the setting provide opportunities and support for people receiving HCBS to make decisions about how to spend their own money?	The setting provides opportunities and support for people receiving HCBS to make decisions about how to spend their own money. Give multiple examples of how this happens for people living in the setting.	10
38	Q29A. Does the setting assure that activities inside the setting are comparable to activities undertaken by people of similar ages who do not receive HCBS and who have like interests and goals?	The setting assures that activities <u>inside</u> the setting are comparable to activities undertaken by people of similar ages who do not receive HCBS and who have like interests and goals. Give examples of activities that occur inside the setting.	8

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39	Q29B. Does the setting provide opportunities and support for individual to engage in activities outside the setting that are comparable to activities undertaken by people of similar ages who do not receive HCBS and who have similar interests and goals?	The setting provides opportunities and support for individuals to engage in activities outside the setting that are comparable to activities undertaken by people of similar ages who do not receive HCBS and who have similar interests and goals. Give multiple examples of how this occurs for individuals living in the setting.	8
40	Q29C. Does the setting offer people receiving HCBS choices about when they participate in community activities?	The setting offers people receiving HCBS choices about when they participate in community activities. Give multiple examples of this occurring for individuals living in the setting.	3
41	Q29D. Does the setting offer people receiving HCBS choices about who they participate with during community activities?	The setting offers people receiving HCBS choices about who they participate with during community activities. Given multiple examples of this occurring for individuals living in the setting.	4
42	Q30. Does the setting offer options for people to receive the service (being provided in the setting) outside of the setting in the broader community?	The setting offers options for people to receive the service (being provided in the setting) outside of the setting in the broader community. Give multiple examples of how this occurs for individuals living in the setting.	11
43	Q31. Does the setting provide people receiving HCBS with the choice to receive the services being provided in non-disability specific settings?	The setting provides people receiving HCBS with the choice to receive the services being provided in non-disability specific settings. Clearly explain how the choice is offered and how frequently the choice is offered. Specify whether the provider operating the setting offers service(s) in non-disability specific settings or not.	11

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44	Q32. Does the setting provide supports to people receiving HCBS in non-disability specific locations such as supports for integrated employment, volunteering in the broader community, or engaging in integrated activities in the broader community?	The setting provides supports to people receiving HCBS in non-disability specific locations such as supports for integrated employment, volunteering in the broader community, or engaging in integrated activities in the broader community. Give multiple examples of how this occurs in practice for individuals living in the setting.	11
45	Q33. Do people have a choice of a private room or unit, if personal resources enable them to afford the cost?	People do not have a choice of a private room or unit, if personal resources enable them to afford the cost. Explain briefly how this choice is offered and ensured.	11
46	Q34. Does the setting have a lease, residency agreement or other form of legally enforceable written agreement in place with people receiving HCBS that live in the setting and which provides the same rights, responsibilities and protections from eviction otherwise required under the state's landlord-tenant law or a comparable local ordinance?	The setting has a lease, residency agreement or other form of legally enforceable written agreement in place with people receiving HCBS that live in the setting and which provides the same rights, responsibilities and protections from eviction otherwise required under the state's landlord-tenant law or a comparable local ordinance. Attach a copy of the lease or reference where it has been previously uploaded to the HCBS compliance Portal.	17
47	Q35. Does the setting have practices, procedures, and policies to ensure all information about people receiving HCBS is kept private and confidential?	The setting has practices, procedures, and policies to ensure all information about people receiving HCBS is kept private and confidential.	8
48	Q36: Does the setting support people by providing assistance with activities of daily living while ensuring the privacy of the person?	The setting supports people by providing assistance with activities of daily living while ensuring the privacy of the person.	8

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49	Q37: Does the setting have practices, procedures and policies to ensure staff interacts and communicates with people respectfully, in a manner in which the person would like to be addressed at all times, and consistent with how they would interact with a person of the same age not receiving HCBS?	The setting has practices, procedures and policies to ensure staff interacts and communicates with people respectfully, in a manner in which the person would like to be addressed at all times, and consistent with how they would interact with a person of the same age not receiving HCBS.	8
50	Q38: Does the setting have policy, procedures and staff trainings to assure that staff does not talk to other staff about a person receiving HCBS services in the presence of other persons or in the presence of the individual as if s/he were not present?	The setting has policy, procedures and staff trainings to assure that staff does not talk to other staff about a person receiving HCBS services in the presence of other persons or in the presence of the individual as if s/he were not present. Describe how often staff training occurs and who monitors staff practices to ensure they are consistent with policies and procedures.	8
51	Q39. Does the setting ensure people have privacy in their living space (i.e. their unit if they live alone and their bedroom if they live with others not part of their immediate, self-defined family) and an ability to prevent others from entering their private space uninvited.	The setting ensures people have privacy in their living space (i.e. their unit if they live alone and their bedroom if they live with others not part of their immediate, self-defined family) and ensures people have an ability to prevent others from entering their private space uninvited. Provide a short narrative descriving how these things are ensured in the setting.	8
52	Q39A. Does each private living space (i.e. their unit if they live alone and their bedroom if they live with others not part of their immediate, self-defined family) have lockable entrance doors with only the person and appropriate staff having a key or code to open the door(s)?	Each private living space (i.e. their unit if they live alone and their bedroom if they live with others not part of their immediate, self-defined family) has lockable entrance doors with only the person and appropriate staff having a key or code to open the door(s). (Upload pictures to show compliance.)	18
53	Q39B. Do staff always knock and receive permission prior to entering a person's private living space?	Staff always knock and receive permission prior to entering a person's private living space. Add short statement describing how the provider operating the setting ensures this.	8

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54	Q40. Does the setting optimize personal initiative, autonomy and independence in making life choices?	The setting optimize personal initiative, autonomy and independence in making life choices. Provider multiple examples of how this is done addressing personal initiative, personal autonomy and personal independence separately in the examples.	4
55	Q40A. Do people have no restrictions for the use of personal communication devices and does the setting provide support for people to consistently access and effectively utilize their communication device(s)?	People do not have restrictions for the use of personal communication devices and the setting does provide support for people to consistently access and effectively utilize their communication device(s). Provide examples specific to the setting that demonstrate this is happening.	4
56	Q40B. Do people have a choice to have their own bedroom (taking into account financial resources) and people sharing a bedroom have choice of who to share with?	People have a choice to have their own bedroom (taking into account financial resources) and people sharing a bedroom have choice of who to share with. Note if people are able to choose to share a bedroom, particularly with intimate partner (e.g. spouse, significant other).	11
57	Q40C. Do people have the freedom and support to furnish and decorate their bedroom and living areas as they wish, taking account of any lease responsibilities if they do not own the place where they live?	People have the freedom and support to furnish and decorate their bedroom and living areas as they wish, taking account of any lease responsibilities if they do not own the place where they live. Give examples of how people have chosen to furnish and decorate their bedroom and living areas.	18
58	Q41. Does the setting use restrictive measures with people receiving HCBS?	The setting does not use restrictive measures with people receiving HCBS.	9

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59	Q42. Does the settings policy mirror DHHS Restrictive Measures policy and procedures for people receiving HCBS?	The settings policy mirrors DHHS Restrictive Measures policy and procedures for people receiving HCBS.	9
60	Q43. Does the setting ensure that one person's behavior supports do not impede the rights of other people receiving HCBS in the setting?	The setting ensures that one person's behavior supports do not impede the rights of other people receiving HCBS in the setting.	9
61	L44. Does the setting offer a secure place for the person receiving HCBS to store personal helongings?	The setting offers a secure place for the person receiving HCBS to store personal belongings. Describe the options for a secure place that people living in the setting can choose.	10
62	Q45. Does the setting have practices, procedures, and policies to ensure dignity is afforded to people receiving HCBS in an age-appropriate manner while dining?	The setting has practices, procedures, and policies to ensure dignity is afforded to people receiving HCBS in an age-appropriate manner while dining. Provide examples of the setting's practices that ensure this for individuals living in the setting.	8
63	Q46. Does the setting provide people with flexibility in their schedules by adapting activities and schedules to the needs and preference of people who receive HCBS?	The setting provides people with flexibility in their schedules by adapting activities and schedules to the needs and preference of people who receive HCBS. Provide multiple examples of how this is done for individuals living in the setting.	3
64	Q47. Are people provided opportunities and support for meal planning?	People are provided opportunities and support for meal planning. Provider brief description of how this is done in the setting.	4
65	Q48. Does the setting provide opportunities and support for people to shop for their own food and other groceries?	The setting provides opportunities and support for people to shop for their own food and other groceries.	4
66	Q49. Do people have access to food at any time consistent with people living in similar and/or the same setting who are not receiving HCBS?	People have access to food at any time consistent with people living in similar and/or the same setting who are not receiving HCBS.	14

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67	Q50. Does the setting allow for people receiving HCBS to have a meal/snack at the time and place of their choosing?	The setting allows for people receiving HCBS to have a meal/snack at the time and place of their choosing.	14
68	Q51. Does the setting provide for an alternative to the planned meal if requested by the person receiving HCBS?	The setting provides for an alternative to the planned meal if requested by the person receiving HCBS.	14
69	Q52. Does the setting provide opportunities for private dining if requested by the person receiving HCBS?	The setting provides opportunities for private dining if requested by the person receiving HCBS.	8
70	Q53. Does the setting permit people to eat their meals in their bedroom?	The setting permits people to eat their meals in their bedroom.	14
71	Q54. Does the setting afford people receiving HCBS full access to dining areas with comfortable seating and opportunity to converse with others during or after a meal?	The setting affords people receiving HCBS full access to dining areas with comfortable seating and opportunity to converse with others during or after a meal.	8
72	Q55. Is the setting physically accessible and free from obstructions such as steps, lips in a doorway, or narrow hallways, etc. that could limit a person's mobility in the setting?	The setting is physically accessible and free from obstructions such as steps, lips in a doorway, or narrow hallways, etc. that could limit the mobility of people currently living in the setting.	16
73	Q56. Does the setting afford people receiving HCBS the opportunity to regularly and periodically update or change their daily activities?	The setting affords people receiving HCBS the opportunity to regularly and periodically update or change their daily activities. Provide multiple examples of this occurring for people living in the setting.	15
74	Q57. Does the setting have policies, practices and procedures that support individualized planning and support?	The setting has policies, practices and procedures that support individualized planning and support.	13

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75	Q58. Do staff ensure the individualized goals, preferences and needs of people receiving HCBS guide how staff provide services and supports to people.	Staff ensure the individualized goals, preferences and needs of people receiving HCBS guides how staff provide services and supports to people. Provide multiple examples of how this occurs for individuals living in the setting.	13
76	Q59. Are all direct support staff required to be trained in person-centered planning strategies?	All direct support staff are required to be trained in person-centered planning strategies. Note how often the training must be completed by staff.	7
77	Q60. Does the setting allow people receiving HCBS to choose which of the setting's employees provide his/her services?	The setting allows people receiving HCBS to choose which of the setting's employees provide his/her services. Note how this is made possible for individuals living in the setting.	12